

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEBRASKA

UNITED STATES OF AMERICA,)	4:07CR3144
)	
Plaintiff,)	MEMORANDUM
v.)	AND ORDER
)	
PAUL KEVIN WESTERFIELD,)	
)	
Defendant.)	

This matter is before the court on the defendant's motion for certificate of appealability ([filing 43](#)), which will be treated as a notice of appeal from the court's judgment of December 23, 2009 ([filing 42](#)). *See Turner v. Armontrout, 922 F.2d 492, 494 (8th Cir.1991)* (citing 5 other circuits that "have held that a motion for certificate of probable cause, filed by a pro se petitioner within the time limits of Rule 4(a) can serve as the functional equivalent of a notice of appeal"); [Fed.R.App.P. 3\(c\)\(4\)](#) ("An appeal must not be dismissed for informality of form or title of the notice of appeal . . ."). The judgment dismissed with prejudice the defendant's motion to vacate, set aside, or correct his sentence pursuant to [28 U.S.C. § 2255](#) ([filing 39](#)).

Before the defendant's appeal can proceed, a certificate of appealability must issue. *See Fed. R. App. P. 22(b)*. A certificate of appealability may issue "only if the applicant has made a substantial showing of the denial of a constitutional right." [28 U.S.C. § 2253\(c\)\(2\)](#). When a district court has rejected a constitutional claim on the merits in the course of denying a § 2255 motion, "[t]he petitioner must demonstrate that reasonable jurists would find the district court's assessment of the constitutional claims debatable or wrong" in order to meet the standard contained in § 2253(c). *Slack v. McDaniel, 529 U.S. 473, 484 (2000)*. In contrast, when a district court denies a § 2255 motion on procedural grounds without reaching the applicant's underlying constitutional claims on the merits, a certificate of appealability should

issue under § 2253(c) when “the prisoner shows, at least, that jurists of reason would find it debatable whether the petition states a valid claim of the denial of a constitutional right and that jurists of reason would find it debatable whether the district court was correct in its procedural ruling.” *Id.*

For the reasons set forth in the memorandum and order that was entered on December 23, 2008 ([filing 41](#)), denying the defendant’s § 2255 motion on initial review, the court concludes that the defendant has not made a substantial showing of the denial of a constitutional right as required by [28 U.S.C. § 2253\(c\)](#). Therefore, a certificate of appealability will not be issued.

The defendant was determined to be financially unable to obtain an adequate defense in his criminal case, and an attorney was appointed to represent him at trial. Because the appeal appears to be taken in good faith, even though a certificate of appealability will not be issued, the defendant may proceed in forma pauperis on appeal pursuant to [Federal Rule of Appellate Procedure 24\(a\)\(3\)](#).

IT IS ORDERED that:

1. The defendant’s motion for certificate of appealability ([filing 43](#)) is denied; a certificate of appealability will not issue in this case.
2. The defendant may proceed in forma pauperis on appeal.
3. The clerk of the court shall transmit a copy of this memorandum and order to the United States Court of Appeals for the Eighth Circuit.

February 10, 2009.

BY THE COURT:

s/ *Richard G. Kopf*
United States District Judge